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To: Group Art Unit 3721

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Donald A. Riggs, Jr. et al.

U.S. Serial No. 10/616,746

Filed July 9, 2003

Confirmation No. 2765

"Method of Assembling a Modular Turret Cage for a Capping Machine"

Attorney Docket: 01-3551

2 pg. Transmittal Letter

1 pg. Information Disclosure Statement

Burden Hour Statement This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
Donald A. Riggs, Jr. et al. ) Group Art Unit 3721  
Serial No. 10/616,746 ) Attorney Docket 01-3551  
Filed July 9, 2003 )  
For Method of Assembling a Modular Turret )  
Cage for a Capping Machine )

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE THE MAILING DATE OF A FIRST OFFICE ACTION**

October 15, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR 1.97(b), the Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office action on the merits, whichever event occurs last.

In accordance with 37 C.F.R. 1.98, copies of the references cited in the attached Disclosure Statement are not provided because they were previously cited by or submitted to the Office in the parent application, U.S. Serial No. 10/151,284.

This citation is made solely for the purpose of giving the patent Examiner full and convenient opportunity to make an independent review of these references during the examination of this application. No representation of any nature is made or intended by this disclosure.

Respectfully submitted,



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PATENT TRADEMARK OFFICE

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Date October 15, 2003

Sheet 1 of 1

DISCLOSURE STATEMENT							ATTY DOCKET NO. 01-3551	SERIAL NO. 10/616,746	
							APPLICANT Donald A. Riggs, Jr. et al.		
							FILING DATE July 9, 2003	GROUP 3721	
U.S. PATENT DOCUMENTS									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME			CLASS	SUBCLASS	FILING DATE
AA	3 3 4 5 8 0 0	10/1967	Volker				53	303	4/19/65
AB	4 6 9 6 1 4 4	9/1987	Bankuty et al.				53	331.5	10/29/86
AC	4 9 3 9 8 9 0	7/1990	Peronek et al.				53	486	4/14/89
AD	5 1 5 7 8 9 7	10/1992	McKee et al.				53	308	12/5/91
AB	5 3 9 8 4 8 5	3/1995	Osischin				53	490	3/31/94
AF	5 4 5 7 9 3 6	10/1995	Neel				53	317	4/11/94
AG	5 4 9 0 3 6 9	2/1996	Ellis et al.				53	317	10/24/94
AH	5 8 2 6 4 0 0	10/1998	Mariin et al.				53	367	8/20/97
AI									
AJ									
AK									
AL									
AM									
FOREIGN PATENT DOCUMENTS									
	DOCUMENT NUMBER	DATE	COUNTRY			CLASS	SUBCLASS	TRANSLATION YES NO	
AN									
AO									
AP									
AQ									
AR									
OTHER DOCUMENTS									
AS	U.S. Serial No. 10/194,637, Filed July 12, 2002, entitled "Method to Prevent Container Rotation Associated with a Capping Machine" - Riggs et al.								
AT									
Examiner	Date Considered								

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.